



KASIKORNBANK PCL. Branch .....

Date ...../...../.....

Fund Account Number (For Officer's Use)

0 0 5 0 - - - - -

I wish to open a fund account for trading investment units of mutual funds managed by Kasikorn Asset Management Co., Ltd. and apply for the service of trading investment units through electronic channels which are now or will be hereafter available, and I hereby provide information as follows:

**Unit Holder Information**

Juristic Investor Name : \_\_\_\_\_  
\_\_\_\_\_

Commercial Registration No. : .....

Tax ID. : - - - - -

Branch No. : .....

Registered Country :  Thailand  Other (Please specify) .....

**Business Type :**

- |   |  |
|---|--|
| <input type="checkbox"/> Trader of Antiques                             | <input type="checkbox"/> Trader of Arms and Ammunition                                 |
| <input type="checkbox"/> Casino or Gambling House Business              | <input type="checkbox"/> Co-operative/Foundation/Association/Club/Temple/Mosque/Shrine |
| <input type="checkbox"/> Money Transfer Service                         | <input type="checkbox"/> Entertainment Business  |
| <input type="checkbox"/> Financial / Accountant                         | <input type="checkbox"/> Money Exchange Service  |
| <input type="checkbox"/> Hotel / Restaurant                             | <input type="checkbox"/> Insurance / Assurance   |
| <input type="checkbox"/> Trader of Gems or Gold                         | <input type="checkbox"/> Property / Real Estate  |
| <input type="checkbox"/> Travel Agent                                   | <input type="checkbox"/> University / School / Education Center                        |
| <input type="checkbox"/> Immigration Employment/Expat Recruitment Agent | <input type="checkbox"/> Other (Please specify) .....                                  |

**Juristic Type :**

Juristic (Thailand)

- Tax Exempt on Dividend and Capital Gain  Non-Tax Exempt

Juristic (Foreign)

- Operating in Thailand  Non-Operating in Thailand

Others

- Partnership (Thailand)  
 Government Organization / State Enterprise  
 Co-operative / Foundation / Association / Club / Temple / Mosque / Shrine  
 Tax Exempt Company  
 Other (Please specify) .....

**Commercial Registration Certificate Address :**

No. .... Building ..... Floor ..... Room ..... Village No. (Moo) .....  
 Village ..... Lane/Alley (Soi) ..... Road .....  
 Sub-District ..... City District .....  
 Province..... Postal Code - - - - - Country .....

**Mailing Address :**  Email Address       Same as Commercial Registration Certificate Address       Other (Please specify)  
 No. .... Building ..... Floor ..... Room ..... Village No. (Moo) .....  
 Village ..... Lane/Alley (Soi) ..... Road .....  
 Sub-District ..... City District .....  
 Province..... Postal Code    Country .....

**Contact Person :**

Name-Surname .....  
 Position/Division ..... Relationship with Investor/Unit Holder .....  
 Mobile Phone                    Telephone No. (Home) .....  
 Telephone No. (Office)        Ext. ....  
 Email Address (Capital Letter) .....

**Country's Source of Income (Please specify only one) :**  Thailand       Other (Please specify).....

**Source of Income :**       Revenue from Business       Stock       Donation       Loan  
     Revenue from selling property       Other (Please specify).....

**Objective of Account :**       Liquidity Management       Cash management for investment  
     Investment       Other (Please specify).....

**How long do you intend to invest :**       Less than 6 Month       6 Month – 1 Year  
     1 Year – 5 Years       More than 5 Years

**Juristic's Condition of Authorized Signatories as Commercial Registration Certificate/Letter of authority/ Minutes of meeting :**

.....  
 .....  
 .....

**Condition of Authorized Signatories for Transaction :**

.....  
 .....  
 .....

**End Beneficiary Information :**

Name - Surname : .....  
 Date of Birth (B.E.): .....  
 ID Type :       National ID Card No : .....  Lifetime card  
     Passport No .....  
    Expire Date (B.E.) ..... Issue country .....  
     Non Thai Identification No.: .....  
    Expire Date (B.E.) .....

List of Shareholders holding from 25% of shares :

Individuals who are shareholders of juristic's owner

	Name-Surname of Shareholders	Nationality	ID Card No./Passport No.	Date of Expiry (Only for Passport and Alien Registration Card)
1				
2				
3				
4				

1. Juristics who are shareholders of juristic's owner

Juristic Name.....

Registration No. ....

Registered Country  Thailand  Other (Please specify) .....

	Name-Surname or Juristic Name	Nationality	ID Card No./Passport No./ Tax ID/Registration No.	Date of Expiry (Only for Passport and Alien Registration Card)
1				
2				
3				
4				

2. Juristics who are shareholders of juristic's owner

Juristic Name.....

Registration No. ....

Registered Country  Thailand  Other (Please specify) .....

	Name-Surname or Juristic Name	Nationality	ID Card No./Passport No./ Tax ID/Registration No.	Date of Expiry (Only for Passport and Alien Registration Card)
1				
2				
3				
4				

**Authorized person of Juristic Investor for transaction :**

Name - Surname : \_\_\_\_\_

Date of Birth (B.E.): \_\_\_\_/\_\_\_\_/\_\_\_\_

ID Type :  National ID Card No : \_\_\_\_-\_\_\_\_-\_\_\_\_-\_\_\_\_-\_\_\_\_-\_\_\_\_  Lifetime card

Passport No .....

Expire Date (B.E.) \_\_\_\_/\_\_\_\_/\_\_\_\_ Issue country .....

Non Thai Identification No.: \_\_\_\_\_

Expire Date (B.E.) \_\_\_\_/\_\_\_\_/\_\_\_\_

**Current Address**

No. .... Building ..... Floor ..... Room ..... Village No. (Moo) .....

Village ..... Lane/Alley (Soi) ..... Road .....

Sub-District ..... City District .....

Province..... Postal Code \_\_\_\_ Country .....

**I would like to receive the redemption proceeds and / or dividend payment**

By credit to a deposit account at KASIKORNBANK PCL.

Branch ..... Account No. \_\_\_\_-\_\_\_\_-\_\_\_\_-\_\_\_\_-\_\_\_\_-\_\_\_\_

Account Name .....

I hereby certify that the above information including any other information and/or document I have provided to the Management Company for the opening of the fund account is accurate. I will immediately give notice to the Management Company if there is any change. This certification of data accuracy shall include the use of or reference to said information by a third party for any action in connection with the operation under this Application and/or per my intention. I have duly received and read the investor guide and agreed to comply with and be bound by the terms and conditions as well as basic rights of consumers as stated in the reverse side of this Application including those to be later prescribed and announced by the Management Company, in all respects. This transaction is not for money laundering or financing of terrorism and the proliferation of weapons of mass destruction.

Signature of Applicant .....  
( )

**For Officer Only For Registrar and Branch Officer/Fund**

Investor Contacted Person and/or Application Authorized Person 1. Please inform the customer to fill in the application's details.

..... 2. Please submit the documents to the registrars within 7 days

No. .... since the application date.

3. The customer recommender (if any) .....

## Term and Conditions

1. The Management Company reserves the right to accept and/or reject the Application for the Opening of the Fund Account and/or reject the reservation and/or subscription and/or redemption and/or switching of investment units, either in whole or in part, with no need to provide any reason. This shall be in accordance with the terms and conditions, including rights and duties of the Management Company as stated in the prospectus, including any other terms and conditions determined by the Management Company as well as other announcements.
2. The Management Company aims to offer investment units of funds within Thailand and has no objective of offering investment units to/for the benefit of the United States of America, US citizens or residents or the persons who have residence in the USA including the estate of said persons and companies or partnerships which are established and conduct activities in the USA. The Management Company reserves the right to not offer investment units to the aforementioned persons (in accordance with FATCA law).
3. If there is no balance left in the fund account which has been inactive for more than one year, the Management Company reserves the right to close the account without giving advance notice to the applicant for the opening of the fund account.
4. The applicant for the opening of the fund account via KASIKORNBANK PCL (KBank) must open a deposit account of KBank for receiving redemption proceeds/dividend. The redemption proceeds/dividend cannot be paid by cheque or to another bank's account.
5. A selling agent acts as investment consultant and accepts an instruction for investment unit subscription and/or redemption and/or switching only. Allocation of investment units per the instruction for investment unit subscription and/or redemption is under the responsibility of the Management Company.
6. The Management Company may send all communications, correspondences and/or notices and/or any other document to the applicant by telephone, facsimile, email or postal mail, either registered or unregistered, or by any other means, or any other channel as the Management Company deems appropriate. If the communication has been made by the Management Company to the contact address and/or the contact information based on the details in this Application, it shall be deemed that the Management Company has duly notified or sent the information to the applicant. In case of change in the contact address and/or contact information, the applicant shall give written notice with attachment of documents as evidence as determined by the registrar and/or the Management Company.
7. If the applicant who receives the redemption proceeds/dividend by funds transfer to a deposit account at KBank that has been assigned a PIN for use with an ATM card or any other card to be available in the future, and if the applicant wishes to use the KBank Delivery or any other electronic banking service for subscribing and/or redeeming and/or switching for investment units through electronic media, the applicant can use such account number/card number and PIN to subscribe or redeem to process the investment unit subscribing and/or redeeming and/or switching for investment units transaction via the KBank Delivery or any other electronic service of KBank.
8. The applicant acknowledges and agrees to be bound by the terms and conditions and any content stated in the prospectus of each fund, terms/criteria/conditions of the opening of the fund account including relevant laws and/or any other announcement including the Securities and Exchange Act/ Notification of the Securities and Exchange Commission/ Capital Market Supervisory Board /the Office of the Securities and Exchange Commission/ Anti-Money Laundering Office/ Association of Investment Management Companies and/or any other organization. The applicant acknowledges and agrees that the Management Company shall collect and use the information of the applicant and/or a third party provided by the applicant to the Management Company or via the Management Company's agent or held by the Management Company or that the Management Company has received or obtained from other sources for the purpose of providing services to the applicant, undertaking operations per the applicant's request before service provision, assigning work to another person to support the service regarding IT, communication, assignment of rights and/or duties, compliance with laws, risk management, compliance and audit, internal organization management, complaint handling and/or for any other purposes essential for operation of the Management Company or to allow the Management Company to provide continual services with fairness, as well as for the purposes as stipulated in the privacy policy. The applicant acknowledges and agrees that the Management Company may disclose the information of the applicant and/or the third party to external service providers, the Management Company's agents, sub-contractors, co-branding partners, data processors, auditors, external inspectors, assignees of rights or prospective assignees of rights, competent authorities and/or any organizations/juristic persons having entered into a contract with the Management Company; and that the recipient of such information from the Management Company may collect, use and disclose such information further in accordance with said purposes; and that the Management Company may send and/or transfer the data to storage on server/cloud in relevant countries. If the applicant gives

and/or sends the third party's information (including the information of a director, an authorized person, agent and/or an employee of the applicant in case where the applicant is a juristic person), the applicant affirms that the third party has been informed of the details about the collection, use and disclosure of the data as stated in the previous paragraph, and the applicant has been given consent from the third party.

The applicant may read additional details and rights in the Privacy Policy on the Management Company's website ([www.kasikornasset.com/th/privacy-policy](http://www.kasikornasset.com/th/privacy-policy)) or request them from the Management's officer or agent who provides the service to the applicant.

The Management Company may revise the privacy policy, and the revised privacy policy will be posted at the Management Company's website. The applicant acknowledges that the Management Company may undertake operations in accordance with the current privacy policy. The Management Company may give notice of the change to the applicant via channels used by the Management Company for contacting the applicant and/or any other channels through which the Management Company deems the applicant can be informed of the change.